

## UNITED STATES DL. \ ITMENT OF COMMERCE Patent and Trademark Office

Address:

Box ISSUE FEE

ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

## NOTICE OF ALLOWANCE AND ISSUE FEE DUE

020350 33M1/0624 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO CA 94111

| APPLICAT                 | 10N NO. FILIN | NG DATE TO |    | . CLÁIMS | EXAMINER AND GROUP ART UN |   | T DATE MAILED |        |  |
|--------------------------|---------------|------------|----|----------|---------------------------|---|---------------|--------|--|
|                          | 08/561,958    | 11/22/     | 95 | 056      | MENDEZ,                   | M | 3306          | 06/24/ |  |
| First Named<br>Applicant | EGGERS.       |            |    | PH:      | ILIP E.                   |   |               |        |  |

TITLE OF INVENTION

SYSTEM AND METHOD FOR ELECTROSURGICAL CUTTING AND ABLATION

| ATTY'S DOCKET NO. |         | CLASS-SUBCLASS | BATCH NO. | APPLN. TYPE | SMALL ENTITY | FEE | DUE           | DATE DUE |        |
|-------------------|---------|----------------|-----------|-------------|--------------|-----|---------------|----------|--------|
| 3                 | 16238-7 | 7 604-         | 114.000   | 064         | UTILITY      | YES | <b>\$</b> 645 | .00      | 09/24/ |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED.

THE ISSUE FEE MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u>

## **HOW TO RESPOND TO THIS NOTICE:**

- I. Review the SMALL ENTITY status shown above.

  If the SMALL ENTITY is shown as yes, verify your current SMALL ENTITY status:
  - A. If the status is changed, pay twice the amount of the FEE DUE shown and notify the Patent and Trademark Office of the change in status, or
  - B. If the status is the same, pay the FEE DUE shown above.
- If the SMALL ENTITY is shown as NO:
- A. Pay FEE DUE shown above, or
- B. File verified statement of Small Entity Status before, or with, payment of 1/2 the FEE DUE shown above.
- II. Part B of this notice should be completed and returned to the Patent and Trademark Office (PTO) with your ISSUE FEE. Even if the ISSUE FEE has already been paid by charge to deposit account, Part B should be completed and returned. If you are charging the ISSUE FEE to your deposit account, section "6b" of Part B should be completed.
- III. All communications regarding this application must give application number and batch number.

  Please direct all communication prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# 11

Notice of Allowability

Application No. Applicant(s)

08/561,958

Eggers et al.

Examine

Manuel Antonio Mendez

Group Art Unit 3306

| All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance and Issue Fee Due or other appropriate communication will be mailed in due course.  |
|--|
| X This communication is responsive to Supplemental amendment B.  |
| ∑ The allowed claim(s) is/are 23-28,31,32,34,35,39-56,58,58,80-105.  |
| The drawings filed on are acceptable.  |
| ☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).  |
| ☐ All ☐ Some* ☐ None of the CERTIFIED copies of the priority documents have been   |
| ☐ received.  |
| received in Application No. (Series Code/Serial Number)  |
| received in this national stage application from the International Bureau (PCT Rule 17.2(a)).  |
| *Certified copies not received:  |
| ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).   |
| A SHORTENED STATUTORY PERIOD FOR RESPONSE to comply with the requirements noted below is set to EXPIRE THREE MONTHS FROM THE "DATE MAILED" of this Office action. Failure to timely comply will result in ABANDONMENT of this application. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a). |
| □ Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION, PTO-152, which discloses that the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED.  |
|  |
| because the originally filed drawings were declared by applicant to be informal.   |
| ☑ including changes required by the Notice of Draftsperson's Patent Drawing Review, PTO-948, attached hereto or to Paper No  |
| including changes required by the proposed drawing correction filed on, which has been approved by the examiner.   |
| including changes required by the attached Examiner's Amendment/Comment.   |
| Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the reverse side of the drawings. The drawings should be filed as a separate paper with a transmittal lettter addressed to the Official Draftsperson.   |
| ☐ Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.   |
| Any response to this letter should include, in the upper right hand corner, the APPLICATION NUMBER (SERIES CODE/SERIAL NUMBER). If applicant has received a Notice of Allowance and Issue Fee Due, the ISSUE BATCH NUMBER and DATE of the NOTICE OF ALLOWANCE should also be included.                                 |
| Attachment(s)  |
| ☐ Notice of References Cited, PTO-892  |
| Information Disclosure Statement(s), PTO-1449, Paper No(s). 6,8,9.   |
| ☐ Notice of Draftsperson's Patent Drawing Review, PTO-948  |
| ☐ Notice of Informal Patent Application, PTO-152   |
| ☐ Interview Summary, PTO-413   |
| Examiner's Amendment/Comment   |
| Examiner's Comment Regarding Requirement for Deposit of Biological Material  |
|  |

Serial Number: 08/561,958 Page 2

Art Unit: 3306

## **REASONS FOR ALLOWANCE**

1. The following is an examiner's statement of reasons for allowance:

The prior art of record does not disclose or suggest a method for applying energy to a target site on a patient body structure comprising providing an electrode terminal and a return electrode electrically coupled to a high frequency voltage source; positioning the active electrode in close proximity to the target site in the presence of an electrically conducting terminal; and, applying a high frequency voltage between the electrode terminal and the return electrode, the high frequency voltage being sufficient to vaporize the fluid in a thin layer over at least a portion of the electrode terminal and to induce the discharge of energy to the target site in contact with the vapor layer.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Art Unit: 3306

2. Any inquiry concerning this communication or earlier communications from the examiner should be directed to M. Mendez whose telephone number is (703) 308-2221.

Manuel Antonio Mendez

June 22, 1997